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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/518,287      | 03/03/2000  | David A. Foti        | 04899-034001        | 6548             |

26161 7590 07/17/2003

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EXAMINER

TRUONG, LECHI

ART UNIT PAPER NUMBER

2126

DATE MAILED: 07/17/2003

12

Please find below and/or attached an Office communication concerning this application or proceeding.

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**Office Action Summary**

Application No.

09/518,287

Applicant(s)

FOTI ET AL.

Examiner

LeChi Truong

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 03 March 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-34 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-34 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 8.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. Claims 1, 3-9, 12, 14-19, 22, 23, 25-29, 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cantin et al (Persistent object-mapping in an object-oriented environment) in view of Hartmut Poglheim (Genetic and Evolutionary Algorithm Toolbox for use with Matlab).

As to claim 1, Cantin teaches method signatures (the object class DOG, page 5, ln 1-25/persistent object OP, page 8, ln 1-25/ selected object, page 2, ln 5-55), an object (object, page 5, ln 1-10), an object-oriented environment (Object-oriented programming, page 2, ln 1-11), a method name (the dog name, page 5, ln 1-10), data type (the instance variables “ dog\_type/ type of persistent object, page 5, ln 1-25/ a persistent identifier (PID), page 8, ln 5-25), the data types of input parameters (the object type of the destination persistent medium, page 8, ln 7-25), array-based computing environment( a DB2 persistent medium, page 8, ln 7-25), invoking the method corresponding( invoking/ invoked an environment type in which said data is to be mapped, page 8, ln 30-55).

Cantin does not teach ranking the method signature as a function, selecting ... the rank. However, Poglheim teach Rank-based fitness, (section Rank-based fitness assignment), objective function (section 6.3, Objective function value), fitness function (section, 6.4, fitness value), selective (section Selection and section Rank-based fitness assignment)

It would have been obvious to apply the teaching of Poglheim to Cantin in order to sort and to select the method signatures that are based on the selection probability.

**As to claim 3**, Cantin does not teach calculating fitness ranking. However, Poglheim teach the fitness value for an individual is calculated (section Rank-based fitness assignment).

It would have been obvious to apply the teaching of Poglheim to Cantin in order to sort and to select the method signatures that are based on the selection probability.

**As to claim 4**, Cantin does not teach a preference value, the corresponding signature as a function. However, Poglheim teaches object value fitness value (Section 3.1 Rank-based fitness assignment/ Section 6.3, 6.4).

It would have been obvious to apply the teaching of Poglheim to Cantin in order to sort and to select the method signatures that are based on the selection probability.

**As to claim 5**, Cantin does not teach supper classes, calculation the fitness ranking, calculating difference in level within class. However, Poglheim teaches derived from the objective function (Fitness values, section 6.3), the fitness assigned to each individual depends only on its position (Rank-based fitness assignments, section 3.1).

It would have been obvious to apply the teaching of Poglheim to Cantin in order to sort and to select the method signatures that are based on the selection probability.

**As to claim 6**, Cantin does not teach calculating a difference in a number of dimensions. However. Poglheim teaches the number of individual in the population is used for calculation (section 3.1).

It would have been obvious to apply the teaching of Poglheim to Cantin in order to sort and to select the method signatures that are based on the selection probability.

**As to claim 7**, Cantin teaches data type of the signature (the instance variables “dog\_type/ type of persistent object, page 5, ln 1-25/ a persistent identifier (PID), page 8, ln 5-25),

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the data type of corresponding input parameter (the object type of the destination persistent medium, page 8, ln 7-25), object-oriented environment (object-oriented system, page 2, ln 55-58).

**As to claim 8**, Cantin does not teach a two-dimensional array storing. However, Polemic teaches table 1: Dependency of fitness value from selective pressure (section 3,1).

It would have been obvious to apply the teaching of Poglheim to Cantin in order to sort and to select the method signatures that are based on the selection probability.

**As to claim 9**, Cantin teaches the input parameters (data structure, page 9, ln 5-15), data type (environment type, page 9, ln 5-15), the object-oriented environment (object, page 9, ln 5-5), computer environment (persistent medium, page 6, ln 5-15).

**As to computer program of claim 12**, see the rejection of claim 1.

**As to computer program of claim 14**, see the rejection of claim 3.

**As to computer program of claim 15**, see the rejection of claim 4.

**As to computer program of claim 16**, see the rejection of claim 5.

**As to computer program of claim 17**, see the rejection of claim 6.

**As to computer program of claim 18**, see the rejection of claim 7.

**As to computer program of claim 19**, see the rejection of claim 8.

**As to computer program of claim 22**, see the rejection of claim 11.

**As to claim 23**, Cantin teaches an interface (OPSS, page 2, ln 5-30), identifying (Persistent Id, page 2, ln 5-30), the object-oriented environment (object-oriented programming, page 2, ln 5-30), a technical computing environment method (PDS, page 2, ln 15-50/ page 15-25), a calculation workspace (the schemamapper, page 2, ln 37-54/ page 8, line 5-25), a

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command interpreter (an interpreter, page 2, ln 36-58), a signature selector (target selection, page 2, ln 36-58) an object (object, page 5, ln 1-10), an object-oriented environment ( Object-oriented programming, page 2, ln 1-11), reference to a method( data, a target, page 2, ln 36-58, the instance variables “ dog\_type/ type of persistent object, page 5, ln 1-25/ a persistent identifier( PID), page 8, ln 5-25), an object( a selected object, page 2, ln 37-57), invoking the method corresponding( invoking/ invoked an environment type in which said data is to be mapped, page 8, ln 30-55).

Cantin does not teach ranking the method signature as a function, selecting ... the rank. However, Poglheim teach Rank-based fitness, (section Rank-based fitness assignment), objective function (section 6.3, Objective function value), fitness function (section, 6.4, fitness value), selective (section Selection and section Rank-based fitness assignment)

It would have been obvious to apply the teaching of Poglheim to Cantin in order to sort and to select the method signatures that are based on the selection probability.

**As to the system of claim 25**, see the rejection of claim 3.

**As to the system of claim 26**, Cantin teaches data type listed by the signature (the instance variables “ dog type/ type of persistent object, page 5, ln 1-25/ a persistent identifier (PID), page 8, ln 5-25), data types of input parameters (the object type of the destination persistent medium, page 8, ln 7-25), array-based computing environment (a DB2 persistent medium, page 8, ln 7-25),

Cantine does not teach the fitness ranking, the corresponding signature as a function. However, Poglheim teaches object value fitness value (Section 3.1 Rank-based fitness assignment/ Section 6.3, 6.4).

It would have been obvious to apply the teaching of Poglheim to Cantine in order to sort and to select the method signatures that are based on the selection probability.

**As to the system of claim 27,** see the rejection of claim 5.

**As to the system of claim 28,** see the rejection of claim 6.

Cantin does not teach a preference value. However, Poglheim teaches object value fitness value (Section 3.1 Rank-based fitness assignment/ Section 6.3, 6.4).

It would have been obvious to apply the teaching of Poglheim to Cantin in order to sort and to select the method signatures that are based on the selection probability.

**As to the system of claim 29,** see the rejection of claim 8.

**As to the system of claim 34,** see the rejection of claim 6.

2. Claims 2, 13, 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cantin et al (Persistent object-mapping in an object-oriented environment) in view of Hartmut Poglheim (Genetic and Evolutionary Algorithm Toolbox for use with Matlab) and further in view of Admitted Prior Art (APA).

**As to claim 2,** Cantin does not teach a mathematical tool (Malab software program, col 15, ln 66 to col 16, ln 1-40). However, APA teaches conventional mathematical tools (page 1, ln 5-28).

It would have been obvious to apply the teaching of APA to Cantin in order to provide a comprehensive technical computing environment for performing numerical linear algebraic calculations.

**As to computer program of claim 13,** see the rejection of claim 2.

**As to the system of claim 24,** see the rejection of claim 2.

3. Claims 10, 11, 20, 21, 31, 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cantin et al (Persistent object-mapping in an object-oriented environment) in view of Hartmut Poglheim (Genetic and Evolutionary Algorithm Toolbox for use with Matlab) and further in view of Bill Venners (Eternal Math).

As to claims 10, 11, Cantina teaches interpreting the method (an interpreter, page 2, ln 40-45).

Cantin does not teach the object-oriented environment include java virtual machine. However, Venners teaches java virtual machine (page 1-2).

It would have been obvious to apply the teaching of Venners to Cantin in order to make system for accessing externally defined objects from an array based mathematical computing environment more consistent.

As to computer program of claim 20, see the rejection of claim 9.

As to computer program of claim 21, see the rejection of claim 10.

As to the system of claim 31, see the rejection of claim 10.

As to the system of claim 32, see the rejection of claim 11.

4. Claim 30 is rejected under 35 U.S.C. 103(a) as being unpatentable over Cantin et al (Persistent object-mapping in an object-oriented environment) in view of Hartmut Poglheim (Genetic and Evolutionary Algorithm Toolbox for use with Matlab) and further in John W. Eaton (A High-level Interactive Language for Numerical Computations Edition 3 for Octave Version 2.1.x)



**As to the system of claim 30**, see the rejection of claim 9. Further, Cantin does not teach conventional table for convert. However, Eaton teaches table of input conversions (page 18 of 23).

It would have been obvious to apply the teaching of DV to Cantin in order to summarize what all the different conversion do.

5. Claim 33 is rejected under 35 U.S.C. 103(a) as being unpatentable over Cantin et al (Persistent object-mapping in an object-oriented environment) in view of Hartmut Poglheim (Genetic and Evolutionary Algorithm Toolbox for use with Matlab) further in view of David M. Gay (Symbolic-Algebraic Computations in a Modeling Language for Mathematical Programming).

**As to claim 33**, Cantin does not teach a Java Native Interface. However, Gay teaches the java Native Interface (Page 7, ln 17-20)

It would have been obvious to apply the teaching of Gay to Cantine in order to call function written in another language.

6. ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LeChi Truong whose telephone number is (703) 305 5312. The examiner can normally be reached on 8 - 5.

Fax phone: AFTER\_FINAL faxes must be signed and sent to: (703) 746-2738, OFFICAL faxes must be signed and send to: (703) 746-7239, NON OFFICIAL faxes should not be signed, please send to: (703) 746-7240

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 305 9000.

LeChi Truong  
July 3, 2003

A handwritten signature in black ink, appearing to be 'JF' or similar, written in a cursive style.

JOHN FOLLANSBEE  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100